



Office of the Governor – Homeland Security Grants Division 2017 State Homeland Security Program (SHSP) Request for Applications (RFA) for Regular Projects

The Homeland Security Grants Division (HSGD) of the Governor’s Office is soliciting applications for projects that support state and local efforts to prevent terrorism and other catastrophic events and prepare for the threats and hazards that pose the greatest risk to the security of Texas citizens. HSGD provides funding to implement investments that build, sustain, and deliver the 32 core capabilities essential to achieving a secure and resilient state.

Purpose: The purpose of this solicitation is to support state, tribal and local preparedness activities that address high-priority preparedness gaps across all core capabilities where a nexus to terrorism exists. All investments must be consistent with capability targets set during the Threat and Hazard Identification and Risk Assessment (THIRA) process, and gaps identified in the State Preparedness Report (SPR).

The SHSP is intended to support investments that improve the ability of jurisdictions to:

- **Prevent** a threatened or an actual act of terrorism;
- **Protect** its citizens, residents, visitors, and assets against the greatest threats and hazards;
- **Mitigate** the loss of life and property by lessening the impact of future catastrophic events;
- **Respond** quickly to save lives, protect property and the environment, and meet basic human needs in the aftermath of a catastrophic incident; and/or
- **Recover** through a focus on the timely restoration, strengthening, accessibility and revitalization of infrastructure, housing, and a sustainable economy, as well as the health, social, cultural, historic, and environmental fabric of communities affected by a catastrophic incident.

Many activities which support the achievement of target capabilities related to terrorism preparedness may simultaneously support enhanced preparedness for other hazards unrelated to acts of terrorism. However, **all SHSP projects must assist grantees in achieving target capabilities related to preventing, preparing for, protecting against, or responding to acts of terrorism.**

Available Funding: Federal funds are authorized under Section 2002 of the Homeland Security Act of 2002, as amended (Pub. L. No. 107-296), (6 U.S.C. 603). State Homeland Security Program (SHSP) funds are made available through a Congressional appropriation to the United States Department of Homeland Security. All awards are subject to the availability of appropriated federal funds and any modifications or additional requirements that may be imposed by law.

Funding Levels:

Minimum: \$2,500

Maximum for Local and Regional projects: None

Maximum for State Agency projects: None. However it should be noted that HSGD expects to make available approximately \$2 million dollars to state agencies in support of 10 – 20 projects under this solicitation and the SHSP-LETPA solicitation.

HSGD Funding Announcement: 2017 State Homeland Security Program (SHSP-R)

Maximum for Urban Area Security Initiative (UASI) jurisdictions that did not receive a direct allocation from the Federal Emergency Management Agency (FEMA): \$8,000 for travel or other UASI coordination expenses.

Match Requirement: None

Standards: Grantees must comply with the requirements applicable to this fund source cited in the *Uniform Administrative Requirements, Cost Principles, and Audit Requirements* for Federal Awards (2 CFR 200); and all statutes, requirements, and guidelines applicable to this funding.

Prohibitions: Grant funds may **not** be used to support the following services, activities, and costs:

- 1) inherently religious activities such as prayer, worship, religious instruction, or proselytization;
- 2) lobbying;
- 3) any portion of the salary of, or any other compensation for, an elected or appointed government official;
- 4) vehicles or equipment for government agencies that are for general agency use and/or do not have a clear nexus to terrorism prevention, interdiction, and disruption (i.e. mobile data terminals, body cameras, in-car video systems, or radar units, etc. for officers assigned to routine patrol; general firefighting equipment or uniforms);
- 5) weapons, ammunition, tasers, tracked armored vehicles, weaponized vehicles or explosives (exceptions may be granted when explosives are used for bomb squad training);
- 6) admission fees or tickets to any amusement park, recreational activity or sporting event;
- 7) promotional gifts;
- 8) food, meals, beverages, or other refreshments, except for eligible per diem associated with grant-related travel or where pre-approved for working events;
- 9) membership dues for individuals;
- 10) any expense or service that is readily available at no cost to the grant project;
- 11) any use of grant funds to replace (supplant) funds that have been budgeted for the same purpose through non-grant sources;
- 12) fundraising;
- 13) legal services for adult offenders;
- 14) amateur radios and equipment, FMS radios, GMRS radios, or other radio equipment that is not P25 compliant; and
- 15) any other prohibition imposed by federal, state, or local law.

Eligible Applicants:

- 1) State agencies;
- 2) Regional councils of governments;
- 3) Units of local government;
- 4) Nonprofit organizations;
- 5) Universities or Colleges; and
- 6) Federally recognized Native American tribes.

Eligible Activities:

- 1) Grant projects must be consistent with the program purpose stated above and must be submitted in support of one of the following approved activity areas:
 - a. Intelligence and Information Sharing (Non-Fusion Center requests. Note: Fusion Center projects should refer to the SHSP Law Enforcement Terrorism Prevention Activities – LETPA solicitation)
 - i. Identifying, developing, providing, and sharing timely, accurate, and actionable information, data, or knowledge among government or private sector entities.
 - ii. Enabling interdiction and disruption of terrorist activity through enhanced understanding and recognition of pre-operational activity and other crimes that may be precursors or indicators of terrorist activity.
 - iii. Paying for personnel or contractors to serve as qualified intelligence analysts and/or to participate in information, investigative, and intelligence sharing activities specifically related to homeland security.
 - iv. Assessing threat information to inform continued prevention operations and ongoing response activities.
 - v. Implementing and maintaining suspicious activity reporting initiatives.
 - vi. Implementing or sustaining public information and warning systems to relay information regarding terrorism threats.
 - b. Interoperable Communications
 - i. Building capabilities to meet P-25 standards.
 - ii. Sustaining existing capabilities (e.g. life cycle replacement of equipment).
 - iii. Projects must enhance current capabilities or address capability gaps identified by Texas Department of Public Safety (DPS) or Texas Interoperable Communications Coalition (TxICC) in either the Texas Statewide Communications Interoperability Plan (SCIP) or DPS Report on Interoperable Communications to the Texas Legislature. Note: Projects to increase voice communications interoperability for counties with the lowest interoperability levels are preferred over other types of communications projects.
 - iv. Prior to purchase, planned expenditures must receive validation from the Statewide Interoperability Coordinator (SWIC).
 - c. Special Response Teams and First Responder Capabilities (including Border Security capabilities)
 - i. Sustaining and enhancing capacity to detect and resolve threats involving chemical, biological, radiological, nuclear and explosive (CBRNE) devices or weapons of mass destruction (WMD).
 - ii. Sustaining and enhancing tactical teams including HAZMAT response and decontamination, Urban Search and Rescue, and SWAT.
 - iii. Sustaining equipment needs, including personal protective equipment, WMD pharmaceuticals, calibration and maintenance for WMD-related detection and identification systems, and closely related investments to update or sustain current equipment.
 - iv. Sustaining and enhancing efforts to delay, divert, intercept, halt, apprehend, or secure threats or hazards.

- v. Coordinating regional training exercises with federal, state and local law enforcement participation focused on responding to terrorism-related events and increasing participation with community and business organizations.
 - vi. Identifying or locating terrorists through active and passive surveillance and search procedures including systematic examinations and assessments, bio-surveillance, sensor technologies, or physical investigation and intelligence.
- d. State, Regional and Local Planning
- i. Developing state and regional risk and preparedness assessments.
 - ii. Core capability development planning, to include typing and tracking of equipment and special response teams.
 - iii. Planning and execution of training and exercises focused on terrorism prevention, protection and response.
 - iv. Multi-jurisdictional operational planning to include plans for regional operational coordination of terrorism prevention, protection, and response capabilities.
 - v. Maintaining or updating Emergency Operations Plans, consistent with guidance in CPG 101.v2 and the whole community approach to security and emergency management.
 - vi. Planning and implementation of initiatives to enhance the Citizen Corps Program and other community resilience initiatives.
 - vii. Planning for continuity of operations.
- e. Operational Coordination
- i. Establishing and maintaining a unified and coordinated operational structure and process that integrates critical stakeholders across and among all levels of government and with critical private and nonprofit sectors to protect against potential threats, conduct law enforcement investigations, or engage in enforcement, protective, and response activities.
 - ii. Implementing WebEOC and other situational awareness and decision support tools.
 - iii. Enhancing emergency operations centers.
 - iv. Conducting or participating in incident management training and/or exercises.
- f. Critical Infrastructure
- i. Implementing target hardening and other measures associated with increased security at critical infrastructure sites including backfill and overtime to staff emergency operations centers and contracted security at critical infrastructure sites.
 - ii. Identifying critical infrastructure, collecting and maintaining data, and prioritizing critical infrastructure assets, clusters, and systems.
 - iii. Assessing critical infrastructure vulnerabilities and interdependencies, particularly those involving multiple sites and/or sectors.
 - iv. Planning, training, exercises, equipment, and modeling enabling responsible jurisdictions to mitigate threats to and vulnerabilities of critical infrastructure facilities, assets, networks, and systems.
 - v. Analyzing critical infrastructure threats and information sharing with private sector partners.

- vi. Enhancing public awareness education and communications and increasing reporting of suspicious activities related to critical infrastructure.
- 2) All capabilities being built or sustained must have a clear link to one or more Core Capabilities in the National Preparedness Goal.
- 3) Many capabilities which support terrorism preparedness simultaneously support preparedness for other hazards. Grantees must demonstrate this dual-use quality for any activities implemented under this program that are not explicitly focused on terrorism preparedness. Activities implemented under SHSP must support terrorism preparedness by building or sustaining capabilities that relate to the prevention of, protection from, mitigation of, response to, and recovery from terrorism.

Eligibility Requirements:

- 1) Grantees are required to maintain adoption and implementation of the National Incident Management System (NIMS). The NIMS uses a systematic approach to integrate the best existing processes and methods into a unified national framework for incident management across all homeland security activities including prevention, protection, response, mitigation, and recovery. Grantees must use standardized resource management concepts for resource typing, credentialing, and an inventory to facilitate the effective identification, dispatch, deployment, tracking and recovery of resources.
- 2) Cities and counties must have a current emergency management plan or be a legally established member of an inter-jurisdictional emergency management program with a plan on file with the Texas Department of Public Safety, Texas Division of Emergency Management (TDEM). Plans must be maintained throughout the entire grant performance period and must be at least at the Intermediate Level. If you have questions concerning your Emergency Management Plan (preparedness) level, contact your Emergency Management Coordinator (EMC) or your regional Council of Governments (COG). For questions concerning plan deficiencies, contact TDEM at tdem.plans@dps.texas.gov.
- 3) In order for an applicant to be eligible, the county (or counties) in which the applicant is located must have a 90% average on both adult and juvenile criminal history dispositions reported to the Texas Department of Public Safety for calendar years 2011 through 2015. This requirement must be met by August 1, 2017.
- 4) Eligible applicants operating a law enforcement agency must be current on reporting Part I violent crime data to the Texas Department of Public Safety (DPS) for inclusion in the annual Uniform Crime Report (UCR). To be considered eligible for funding applicants must have submitted a full twelve months of accurate data to DPS for the most recent calendar year.
- 5) Eligible applicants must have a DUNS (Data Universal Numbering System) number assigned to its agency (to request a DUNS number, go to <http://fedgov.dnb.com/webform/displayHomePage.do>).
- 6) Eligible applicants must be registered in the federal System for Award Management (SAM) database located at <https://www.sam.gov/> and maintain an active registration throughout the grant period.
- 7) Failure to comply with program eligibility requirements may cause funds to be withheld and/or suspension or termination of grant funds.

Project Period: Grant-funded projects must begin between September 1, 2017 and March 1, 2018, and expire on or before August 31, 2019. Additional guidelines are noted below:

HSGD Funding Announcement: 2017 State Homeland Security Program (SHSP-R)

- 1) Project periods should be structured so that grant-funded salaries do not overlap with salaries approved in previous or future grant awards.
- 2) Project periods should be structured so that annual recurring grant-funded expenses are on a 12 **or** 24-month grant cycle.
- 3) Project periods for equipment only projects are generally awarded for a 6 to 12 month grant period.
- 4) HSGD will consider proposed start or end dates falling outside of these guidelines on a case-by-case basis.

Application Process:

- 1) For eligible local and regional projects:
 - a) Applicants must contact their applicable regional council of governments (COG) regarding their application.
 - b) Each of Texas' 24 COG's holds its own application planning workshops, workgroups, and/or subcommittees and facilitates application prioritization for certain programs within its region. Failure to comply with regional requirements imposed by the COG may render an application ineligible.
- 2) UASI jurisdictions that do not receive a direct allocation from the Federal Emergency Management Agency may submit an application directly to HSGD for expenses associated with Urban Area travel and coordination costs.
- 3) State agencies may submit applications directly to HSGD.
- 4) All applicants must access HSGD's grant management website at <https://eGrants.gov.texas.gov> to register and apply for funding.

NOTE: Applicants must upload the required [Direct Deposit forms](#), [New Payee Identification Form](#), and [W9 Form](#) for each application prior to submission. The eGrants system will not allow an application submission until these forms are attached to the application. These forms are available at <https://egrants.gov.texas.gov/updates.aspx> under the Financial Management Tools section or by clicking on the hyperlinks above.

Closing Date for Receipt of Applications: All applications must be certified via HSGD's grant management website on or before February 28, 2017 by 5:00 PM CST.

Selection Process:

- 1) For eligible local and regional projects:
 - a) The COG's homeland security advisory committee will prioritize all eligible applications based on state priorities, the regional risk-informed methodology, cost and program effectiveness.
 - b) HSGD will accept priority listings that are approved by the COG's executive committee.
 - c) HSGD will make all final funding decisions based on eligibility, COG priorities, reasonableness, availability of funding, and cost-effectiveness.
- 2) For state discretionary projects, applications will be reviewed by HSGD staff members or a review group selected by the director. HSGD will make all final funding decisions based on eligibility, reasonableness, availability of funding, and cost-effectiveness.

Contact Information: For technical assistance or additional information, contact HSGD at eGrants@gov.texas.gov or (512) 463-8382.